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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William F. Foote

Attorney Docket No.: SUN1P510/P6387

Application No.: 10/045,514

Examiner: Unknown

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Filed: October 26, 2001

Group: 2164

NOV 2 6 2002

Technology Center 2100

Title: APPARATUS AND METHDOS FOR MANAGING RESOURCES FOR RESOURCE

**CONTRAINED DEVICES** 

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on November 12, 2002.

Signed:

Natalie Morgan

INFORMATION DISCLOSURE STATEMENT 37 CFR §§1.56 AND 1.97(b)

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Commissioner for Patents Washington, DC 20231

GROUP 3600

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P510).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

Mary R. Olynick

Limited Recognition Under 37 C.F.R. 10.9(b)

P.O. Box 778 Berkeley, CA 94704-0778 NOV 1 0 2002 57

orm 1449 (Modified)

Atty Docket No. SUN1P510/P6387

Application No.: 10/045,514

2164

Information Disclosure Statement By Applicant

Applicant: William F. Foote

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(Use Several Sheets if Necessary)

Filing Date
October 26, 2001

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**U.S. Patent Documents** 

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Examiner						Sub-	PHICE DIE 2	700
Initial	No.	Patent No.	Date	Patentee	Class	class	Date	
	A1							1
	A2							1

Foreign Patent or Published Foreign Patent Application

Examiner		Document	ment Publication Country or			Sub-	Translation	
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	B1							

## **Other Documents**

Evenines						
Examiner	l					
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication				
İ	C1	Czajkowski, Grzegorz, et al., "JRes: A Resource Accounting Interface for				
		Java," In Proceedings of the 1998 ACM OOPSLA Conference, Vancouver,				
		BC, October 1998				
	C2	Philippe Bernadat, Laura Feeney, Dan Lambright, and Franco Travostino,				
İ		"Java Sandboxes meet Service Guarantees: Secure Partitioning of CPU and				
		Memory," December 14, 1998, 24 pp.				
	C3	Li Gong, "Secure Java Class Loading," November – December 1998, Mobile				
		Code Security, pp. 56 - 61				
	C4	Chris Hawblitzel, Chi-Chao Chang, Grzegorz Czajkowski, Deyu Hu, and				
		Thorsten von Eicken, "Implementing Multiple Protection Domains in Java," Technical Report 97-1660, Department of Computer Science, Cornell				
		University, 15 pp				
Transin						
Examiner		Date Considered				

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Pg. 1 of 2

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1449 (Modified)

Atty Docket No. SUN1P510/P6387

Application No.: 10/045,514

Information Disclosure Statement By Applicant

Applicant: William F. Foote

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(Use Several Sheets if Necessary)

Filing Date
October 26, 2001

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## **Other Documents**

NOV 2 6 2002

Examiner		Technology Center 210						
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication						
	D1							
		Modifying The Prog Ram Counter Of Selected Stack Frames," U.S. Patent Application No.: 09/536,253, filed March 27, 2000, 32 Pages.						
	D2	William F. Foote, "Apparatus And Methods For Preventing Denial Of Service						
		Attacks," U.S. Patent Application No. 09/394,121, Filed September 10, 1999, 42 Pages.						
	D3	William F. Foote, "Apparatus And Methods For Managing Resource Usage," U.S. Patent Application 09/394,118, Filed September 10,1999, 36 Pages.						
	D4	William F. Foote, et al, "Apparatus And Methods For Communicating Between Resource Domains," U.S. Patent Application No.: 09/727,103, Filed November 29, 2000, 32 Pages.						
	D5	Hideya Kawahara, William F. Foote, and Dean R.E. Long, "Clean Thread Termination", U.S. Patent Application No. 09/846,064, Filed April 30, 2001, 25 pages.						
Examiner		Date Considered						

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

